IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2834

Examiner: Mr. Guillermo Perez

In re PATENT APPLICATION of:

Applicant : Jun YAMADA et al Appln. No.

: 09/868,233

Filed : August 20, 2001

: SEMICONDUCTOR DEVICE AND METHOD

FOR MANUFACTURING THE SAME

Attorney Ref. : GOT 142NP

Attn: Box AF

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

04/28/2003 HGUTEMA1 00000045 09868233

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INFORMATION

DISCLOSURE

STATEMENT

Sir:

For

This is an information disclosure statement submitted under 37 C.F.R. §1.97 and 1.98, submitted within the time period specified in 37 CFR 37 C.F.R. §1.97(d).

A Form PTO-1449 and copies of the references listed therein are attached. These references were cited in a Supplementary European Search Report on the counterpart European application, and a copy of the Supplementary European Search Report is enclosed to show the relevance of the references.

Since one of the references is written in the Japanese language, an English-language "Patent Abstracts of Japan" summary of the reference is also enclosed to provide further information about the reference.

Although the Supplementary European Search Report identifies four references, only two references are being included in the present Information Disclosure Statement. SThe reason is that the other two references identified in the Supplementary European Search Report are already of record in this application.

Atty Dkt.: GOT 142NP

The Supplementary European Search Report was mailed on April 3, 2003. Accordingly, the undersigned attorney states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement.

The \$180 fee specified in 37 C.F.R. §1.17(p) is being submitted concurrently. Should this fee be accidentally missing, however, or should any additional fees be needed, the Commissioner may charge such fees to our Deposit Account number 18-0002.

In view of the foregoing, it is respectfully requested that the Examiner consider the references, and make them of record.

Respectfully submitted,

April 25, 2003

Date

Allen Wood - Reg. No. 28,134

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AW:tlc



| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT | | | | Atty. Docket | Application No. | | | | | |
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| | | | | Applicant Jun YAMADA et al | | | | | | |
| | | | | Filing Date August 20, 2001 | Group 2834 | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | |
| Examiner Initial | | Document Number Date | | Name | Class | Sub- Class | Filing Date | | | |
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| | ΑI | JP 09-084274 | 3/28/97 | JAPAN | | | Abstract | | | |
| | AJ | EP 0 564 149 A2 | 10/6/93 | EPO | | | English | | | |
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| OTHER (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | | | | |
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| Examiner | | | | | | Date Considered | | | | |
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